# Benjamin C. Bates

Harvard Law School, Program on Corporate Governance 1585 Massachusetts Ave., Cambridge, MA 02138

bbates@law.harvard.edu | (720) 417-4460 | https://ben-bates.github.io/

#### ACADEMIC POSITIONS

### Harvard Law School

Research and Teaching Fellow, Program on Corporate Governance, 2023-present

Research Assistant to Professors Lucian Bebchuk and Alma Cohen

Teaching Fellow to Professor Lucian Bebchuk

Courses: Corporate & Capital Markets Law & Policy (Fall 2023, 2024, 2025)

Stakeholder Capitalism (Fall 2023, 2024, 2025)

#### **EDUCATION**

#### Harvard Law School

J.D., magna cum laude, 2023

Awards: John M. Olin Writing Prize in Law and Economics

Hyun Park Fellowship in Law and Economics

Honors: Dean's Scholar Prizes in Contracts, Torts, Legislation and

Regulation, Empirical Law & Finance Seminar, Mergers &

Acquisitions Workshop, and Global Antitrust

Activities: Harvard Law Review, Editor

## **Brigham Young University**

B.S., magna cum laude in Finance, 2020

Japanese Minor

### TEACHING/RESEARCH INTERESTS

**Primary:** Business Associations, Securities Regulation, Contracts, Corporate Finance,

**Torts** 

Secondary: Financial Institutions, Antitrust, Law and Economics

#### PUBLICATIONS AND WORKING PAPERS

Retail Access to Private Markets (2025) (job market paper)

Featured on Fund Board Views, The Lever, HLS Forum on Corp. Gov.

*Rewriting the Rules for Corporate Elections,* 100 NYU L. REV. 635 (2025)

Featured on Bloomberg Law, Shareholder Primacy Podcast, The Activist Investor, DealLawyers.com, HLS Forum on Corp. Gov.

Is SEC Registration Costly for Private Fund Advisers? (2025) Featured on HLS Forum on Corp. Gov.

## WORKS IN PROGRESS

Delayed Shareholder Votes (with J. Frankenreiter)

#### TEACHING EXPERIENCE

#### Harvard Law School

Corporate & Capital Markets Law & Policy (Teaching Fellow to Professor Lucian Bebchuk)

Stakeholder Capitalism (Teaching Fellow to Professor Lucian Bebchuk)

Contracts (Teaching Fellow to Professor Oren Bar-Gill)

## **Brigham Young University**

Investments (Teaching Assistant to Professors Darren Aiello and Brian Boyer) Financial Derivatives (Teaching Assistant to Professor Todd Mitton)

### **PRESENTATIONS**

#### **Retail Access to Private Markets**

National Business Law Scholars Conference, Los Angeles, CA (June 2025) HLS Program on Corporate Governance Workshop, Cambridge, MA (June 2025)

# **Rewriting the Rules for Corporate Elections**

American Law and Economics Association, New York, NY (May 2025)

NYU Institute for Corporate Governance & Finance Spring Roundtable, New York, NY (May 2025)

HLS Law, Economics, & Organizations Seminar, Cambridge, MA (November 2024)

National Business Law Scholars Conference, Davis, CA (June 2024)

HLS Program on Corporate Governance Workshop, Cambridge, MA (January 2024)

## Is SEC Registration Costly for Private Fund Advisers?

Conference on Empirical Legal Studies, Atlanta, GA (November 2024) American Law and Economics Association, Ann Arbor, MI (May 2024) HLS Law and Economics Seminar, Cambridge, MA (March 2023) HLS Program on Corporate Governance Workshop, Cambridge, MA (March 2023)

# OTHER EMPLOYMENT

# Wilmer Cutler Pickering Hale & Dorr LLP

Summer Associate, 2022

# U.S. Attorney's Office, District of Massachusetts

Intern, Securities, Financial, and Cyber Fraud Unit, 2021

# **S**KILLS

**Languages**: Japanese (conversational) **Programming**: R, Python, LaTeX

BAR ADMISSION

Massachusetts (2023–present)

# REFERENCES

### Lucian Bebchuk

Harvard Law School 617-495-3138 bebchuk@law.harvard.edu

## **Jared Ellias**

Harvard Law School 617-496-4245 jellias@law.harvard.edu

### **Steven Shavell**

Harvard Law School 617-495-3668 shavell@law.harvard.edu

# **Howell Jackson**

Harvard Law School 617-495-5466 hjackson@law.harvard.edu

### Alma Cohen

Harvard Law School and Tel Aviv University 617-496-7353 alcohen@law.harvard.edu